

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

07 CV 4040  
X JUDGE CASTEL

THE NEW YORK CITY DISTRICT COUNCIL OF  
CARPENTERS PENSION FUND, NEW YORK CITY  
DISTRICT COUNCIL OF CARPENTERS WELFARE FUND,  
NEW YORK CITY DISTRICT COUNCIL OF CARPENTERS  
VACATION FUND, NEW YORK CITY DISTRICT COUNCIL  
OF CARPENTERS ANNUITY FUND, NEW YORK CITY  
DISTRICT COUNCIL OF CARPENTERS APPRENTICESHIP,  
JOURNEYMAN RETRAINING, EDUCATIONAL AND  
INDUSTRY FUND, NEW YORK CITY DISTRICT COUNCIL  
OF CARPENTERS CHARITY FUND, and THE NEW YORK  
CITY AND VICINITY CARPENTERS LABOR MANAGEMENT  
COOPERATION FUND, by MICHAEL J. FORDE, and  
PAUL O'BRIEN, as TRUSTEES,

07 CV \_\_\_\_\_

MAY 23 2007  
**CIVIL RULE 7.1  
STATEMENT**

Plaintiffs,

-against-

PETER R. SMITH INC.

Defendant.

-X

PURSUANT TO RULE 7.1 OF THE FEDERAL RULES OF CIVIL PROCEDURE AND TO  
ENABLE JUDGES AND MAGISTRATE JUDGES OF THE COURT TO EVALUATE POSSIBLE  
DISQUALIFICATIONS OR RECUSAL, THE UNDERSIGNED COUNSEL OF RECORD FOR THE  
PLAINTIFFS (A PRIVATE NON-GOVERNMENTAL PARTY) CERTIFIES THAT THE FOLLOWING  
ARE CORPORATE PARENTS, SUBSIDIARIES, OR PUBLICLY HELD CORPORATIONS THAT  
OWN 10% OR MORE OF ITS STOCK:

NONE

Dated: New York, New York  
May 23, 2007



ANDREW GRABOIS (AG 3192)

O'Dwyer & Bernstein, LLP

Attorneys for Plaintiffs

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